



2877 4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)

Mary Bliss, Richard A. Craig,)
Norman C. Anheier)

S. N.: 09/826,999)

Filed: 04/04/2001)

For: METHOD AND APPARATUS FOR)
FOURIER TRANSFORM)
SPECTROMETRY)

Art Unit 2877

Our Ref. No: E-1596 CIP

Date: 6/14/2001

CERTIFICATE OF MAILING

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Dear Sir:

the undersigned hereby certifies that the attached:

- ☒ [X] Communication Request for Correction of inventor's name
- ☒ [X] Copy of Filing Receipt, Confirmation No. 3583
- ☒ [X] Copy of Assignment
- ☒ [X] Copy Declaration
- ☒ [X] Fee Sheet (2 ea.)
- ☒ [X] Return Receipt Postcard

are being deposited with the United States Postal Service as:

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in an envelope addressed to the Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on the date set forth below.

Rebecca B. Rupp
Signature

June 14, 2001
Date

Rebecca B. Rupp K1-53
Intellectual Property Services
Battelle Memorial Institute
Pacific Northwest Laboratories
P.O. Box 999
Richland, WA 99352
(509) 375-2536

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 CFR

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COMMUNICATION

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Dear Sir:

In reviewing the Filing Receipt Document, Confirmation No. 3583 (copy enclosed) for the above-identified application, it was noted that one of the inventor's name is incorrectly spelled on the notice. "Richard A. Graig" should be --Richard A. Craig --. The correct spelling of the inventor's name is set out on the Declaration and the Assignment filed on April 4, 2001 (copy enclosed).

It is respectfully requested that a correct Filing Receipt Document accurately indicating the correct name spelling of inventor as designated be issued and sent to the undersigned attorney.



Respectfully submitted,

Stephen R. May

Stephen R. May
Reg. No. 29,255

Stephen R. May (K1-53)
Intellectual Property Services
Battelle Memorial Institute
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P.O. Box 999
Richland, WA 99352
(509) 375-2387

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/826,999	04/04/2001	2877	355	E-1596 CIP	16	17	2

CONFIRMATION NO. 3583

FILING RECEIPT



OC000000006140818

Intellectual Property Services
Battelle Memorial Institute
Pacific Northwest Division
P.O. Box 999
Richland, WA 99352

Docket Y N _____
Database Y N _____
Debit Y N _____
Number _____

Date Mailed: 06/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mary Bliss, West Richland, WA;
Richard A. Graig, West Richland, WA; *Craig*
Norman C. Anheier, Richland, WA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/058,717 04/10/1998

Foreign Applications

If Required, Foreign Filing License Granted 06/01/2001

Projected Publication Date: 09/13/2001

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

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JUN 07 2001

I.P. SERVICES

Method and apparatus for Fourier transform spectrometry



Preliminary Class
356

Data entry by : TRUONG, T

Team : OIPE

Date: 06/04/2001



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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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Approved for use through 10/31/2001. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL For FY 2001 Patent fees are subject to annual revision.		Complete If Known	
		Application Number	09/826,999
		Filing Date	04/04/01
		First Named Inventor	Bliss et al.
		Examiner Name	not yet assigned
		Group/Art Unit	2877
TOTAL AMOUNT OF PAYMENT (\$) 0		Attorney Docket No.	E-1596 CIP

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METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 02-1275 Deposit Account Name: Battelle Memorial Institute - PND <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status		3. ADDITIONAL FEES	
2. Payment Enclosed: <input type="checkbox"/> check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid	
FEE CALCULATION		105 130 205 65 Surcharge- late filing fee or oath	
1. BASIC FILING FEE		127 50 227 25 Surcharge- late provisional filing fee or cover sheet	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		139 130 139 130 Non-English specification	
101 710 201 355 Utility filing fee		147 2,520 147 2,520 For filing a request for reexamination	
106 320 206 160 Designing filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
107 490 207 245 Plant filing fee		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
108 710 208 355 Reissue filing fee		115 110 215 55 Extension for reply within first month	
114 150 214 75 Provisional filing fee		116 390 216 195 Extension for reply within second month	
SUBTOTAL (1) (\$)		117 890 217 445 Extension for reply within third month	
2. EXTRA CLAIM FEES		118 1,390 218 695 Extension for reply within forth month	
Total Claims <input type="text"/> - 20 ** = <input type="text"/> X <input type="text"/> = <input type="text"/>		128 1,890 228 945 Extension for reply within fifth month	
Independent Claims <input type="text"/> - 3 ** = <input type="text"/> X <input type="text"/> = <input type="text"/>		119 310 219 155 Notice of Appeal	
Multiple Dependent <input type="text"/> = <input type="text"/>		120 310 220 155 Filing a brief in support of an appeal	
**or number previously paid, if greater; For Reissues, see below		121 270 221 135 Requesting for oral hearing	
Large Entity Small Entity Fee Fee Fee Fee Fee Description		138 1,510 138 1,510 Petition to institute a public use proceeding	
103 18 203 9 Claims in excess of 20		140 110 240 55 Petition to revive - unavoidable	
102 80 202 40 Independent claims in excess of 3		141 1,240 241 620 Petition to revive - unintentional	
104 270 204 135 Multiple dependent claim, if not paid		142 1,240 242 620 Utility issue fee (or reissue)	
109 80 209 40 **Reissue independent claims over original patent		143 440 243 220 Design issue fee	
110 18 210 9 **Reissue claims in excess of 20 and over original patent		144 600 224 300 Plant issue fee	
SUBTOTAL (2) (\$)		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Petitions related to provisional applications	
		126 240 126 240 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		179 710 279 355 Request for Continued Examination (RCE)	
		Other fee (specify) <input type="text"/>	
		*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Stephen R. May	Registration No. (Attorney/Agent)	29,255
Signature	<i>Stephen R. May</i>	Telephone	(509) 375-2387
		Date	June 14, 2000

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.